

OPT-IN/OPT-OUT REQUEST FORM FOR THE USE OF PERSONAL DATA FOR DIRECT MARKETING

Please complete the following, sign and fax to +852 2530 3931 or mail the original form to Data Privacy Officer, China Everbright Securities (HK) Limited, 28/F, Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong.

Client Information	
Client Name:	
Account No.:	
ID / Passport No.:	
Contact Phone No.:	

Opt-in / Opt-out Request for Direct Marketing
<p>Please indicate opt-in / opt-out request by ticking the box(es) below. You can change your instructions at any time by providing written notice to China Everbright Securities (HK) Limited. If China Everbright Securities (HK) Limited receives any conflicting instructions from you regarding the use or transfer of your personal data, the instructions given at the latest date shall prevail.</p> <p>Marketing Subjects: Financial services, products or goods including but not limited to those relating to any type of investment, insurance, foreign exchange, lending or other related areas.</p>
<p>The use of Personal Data for Direct Marketing</p> <p>I / We understand that China Everbright Securities (HK) Limited ("CESHK") together with its subsidiaries and associated companies intend to use or transfer my / our personal data (e.g. name, contact details and age group, etc.) to members of CESHK for sending promotional or other materials and direct marketing of financial services, products or goods, for which CESHK may receive gain. CESHK cannot do so without my / our consent. I / We have previously indicated my / our preferences and I / we now would like to change my / our instruction. My / Our latest instruction is as follows:-</p> <p><input type="checkbox"/> I / We agree to the use or transfer of my / our personal data by or to members of the CESHK for direct marketing.</p>
<p>Opt-out Request for Direct Marketing</p> <p><input type="checkbox"/> I / We object to the use or transfer of my / our personal data by or to members of the CESHK for direct marketing.</p>

You may access and download a copy of the updated Personal Data Schedule from the following website: www.ebscn.hk > About Us > Privacy or contact us to obtain a copy.

Signature(s) of Account Holder(s)
The signature(s) shall be the same as specimen signature of the Account Date:

For Office Use Only		
Signature verified by:	Entered by:	Checked by: