

To 致：  
 Everbright Securities Investment Services (HK) Limited  
 光大證券投資服務（香港）有限公司

 Everbright Securities Digital Finance (HK) Limited  
 光大證券數碼金融（香港）有限公司

 China Everbright Securities (HK) Limited  
 中國光大證券（香港）有限公司

### SECURITIES SETTLEMENT INSTRUCTION 證券交收指示 / INVESTOR SETTLEMENT INSTRUCTION 投資者交收指示

Account Number 帳戶號碼： \_\_\_\_\_

Account Name 帳戶名稱： \_\_\_\_\_

Participant Name 參與者名稱： \_\_\_\_\_

Participant I.D. 參與者編號： \_\_\_\_\_

 Contact Person of Participant  
 參與者之聯絡人： \_\_\_\_\_

 Contact Telephone No.  
 聯絡人電話： \_\_\_\_\_

Settlement Date 交收日期： \_\_\_\_\_

<input type="checkbox"/> Securities Settlement Instruction 證券交收指示 <input type="checkbox"/> Investor Settlement Instruction 投資者交收指示		Please 請 ✓	<input type="checkbox"/> Receive the following securities from the Participant for the Account 從參與者收取下列股票至帳戶 <input type="checkbox"/> Deliver the following securities from the Account to the Participant 將下列股票由帳戶轉往所述參與者		
Stock Code 股份代號	Name of Stock 股份名稱	No. of Share(s) 股份數量	Payment Amount & Instruction* 付款數額及指示*	For Internal Use Only	
				CCASS #	Transaction #

Please debit the captioned Account for any costs or charges incurred. 請在帳戶支取所需費用。

Customer Signature(s) and/or Chop(s):

客戶簽署及 / 或蓋章



Customer Name: \_\_\_\_\_

客戶名稱 \_\_\_\_\_

Wealth Manager Signature(s):

經紀簽署

Wealth Manager Name: \_\_\_\_\_

經紀名稱 \_\_\_\_\_

Date 日期： \_\_\_\_\_

CCASS A/C:	
Purpose: C/M	Account Type:
ISI/ SI	
Prepared By:	Entered By:
Approved By:	Approved By:

\*Note 註： F – Free of Payment (FOP) 毋須付款

D - Delivery versus Payment (DVP) 貨銀對付 - Shares are delivered to the counterparty through CCASS while cash payments are made overnight through the payment system of Hong Kong Interbank Clearing Ltd ("HKICL"). Client are thus exposed to credit risk. 股份轉移透過中央結算系統進行，而有關款項則於當晚才透過香港銀行同業結算有限公司的系统進行交收，客戶因而面對信貸風險。

R – Realtime DVP (RDVP) 即時貨銀對付 - Shares are delivered to the counterparty through CCASS upon receipt of payment confirmation from HKICL. CHATS payment charges will be borne by clients. 當香港結算收到香港銀行同業結算有限公司的付款確認後，便即時發放有關股票予付款方參與者。CHATS費用將由客戶繳付。

The above-mentioned companies are wholly-owned subsidiaries of China Everbright Securities International Company Limited, collectively referred to as "Everbright Securities International". 上述公司屬中國光大證券國際有限公司的全資附屬公司，統稱「光大證券國際」。