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香港銅鑼灣希慎道 33 號利園一期 28 樓

Waiver of Confidentiality

| Client Information | |
|---|--|
| Client Name | |
| Taxpayer Identification Number (TIN) | |
| Contact Phone No. | |
| Waiver of Confidentiality | |
| <p>I / we confirm that I am / we are U.S. person¹ as defined by the Internal Revenue Service ("IRS") of the U.S. Government or by an enactment made by the U.S. Congress for any tax purpose. Also, I / we have provided or will provide to China Everbright Securities (HK) Limited ("CESHK")² a Form W-9 in connection with reporting requirements imposed by the IRS.</p> <p>In connection with the requirements and regulations established by the IRS, U.S. law requirements, other requirements or requests, I /we hereby acknowledge and consent to provide all information, which includes but not limited to information, documents, certifications, personal data and related materials concerning me / us, my / our authorized representative(s), my / our account(s) maintained with CESHK ("My Account") with respect to all sources of income received by me in my / our account(s), to CESHK immediately on demand by CESHK. I / we also acknowledge and consent to the disclosure by CESHK to the IRS and/or the U.S. government and/or any related entity(ies) of the U.S. government and/or other persons and/or authority(ies) of any information, which includes but not limited to information concerning income, tax withholding, tax information, personal data and related material concerning me / us , my / our authorized representative(s), my / our Account(s) with respect to all sources of income received by me / us in my / our account(s). I / we agree that the release of information by CESHK to the IRS and/or the U.S. government and/or any related entity(ies) of the U.S. government and/or other persons and/or authority(ies) shall not be considered a breach of any duty of confidentiality owed to me / us (if any). I / we also agree to notify CESHK forthwith in writing of any change in information provided by me/ us to CESHK.</p> <p>I / we represent and warrant to CESHK that I / we have taken all action necessary to authorize the disclosure of information to CESHK and the transfer of information by CESHK. I/we agree that the consent, waiver, right, power, privilege and indemnity hereby given by me/us to CESHK shall extend to all holding companies, subsidiaries and associated companies of CESHK (the "Group"). I/we hereby indemnify CESHK and each and every member of the Group, their respective directors, officers, employees, agents and contractors for all losses, damages, expenses, actions, proceedings and other claims whatsoever arising out of or in connection with any ineffectiveness or invalidity of the consent, wavier of confidentiality or other powers or authorizations given by me/us hereunder to any member of the Group.</p> | |
| Signature(s) of Account Holder(s) | |
| <p>The signature(s) shall be the same as specimen signature of the Account. Date (MM-DD-YYYY):</p> | |

¹ **Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

² China Everbright Securities (HK) Limited is a wholly-owned subsidiary of Everbright Securities International (HK) Limited and an associated company of China Everbright Securities International Company Limited, collectively referred to as "Everbright Securities International".