



Everbright Sun Hung Kai Company Limited Corporate Fact Sheet

Everbright Sun Hung Kai Company Limited (“EBSHKCL”) is a leading wealth management institution that provides excellent global financial services. As an international business platform of Everbright Securities Company Limited (“Everbright Securities”, SSE: 601788, HKEX: 6178), EBSHKCL capitalizes on Everbright Securities’ experience, and Sun Hung Kai Financial’s heritage in the financial industry beginning in 1969, to serve individual, corporate, and institutional clients in Hong Kong, Macau, Mainland China, and the U.K. With its robust operational and financial strengths, and extensive risk management capabilities, EBSHKCL is assigned “Baa3” long-term issuer and “Prime-3” short-term issuer ratings by Moody’s.

Scope of Business



Brands

EBSHK and its associated companies, operating under the “Everbright Sun Hung Kai” and “Everbright Securities” brands as well as the “EBSHK Direct” and “EBSHK Private” sub-brands.



Assets under Management, Custody, and/or Advice

More than HK\$141 billion (as of June 30, 2021)

Total Staff

About 1,000

Website

www.ebshk.com

Executive Leadership

- | | | | |
|-----------------|--|-----------------|--------------------------------|
| ▲ Li Bingtao | Executive Director & Chief Executive Officer | ▲ Kevin Tai | Deputy Chief Executive Officer |
| ▲ Li Mingming | Deputy Chief Executive Officer & Chief Operating Officer | ▲ Shen Jie | Deputy Chief Executive Officer |
| ▲ Sun Yi | Deputy Chief Executive Officer | ▲ Catherine Law | Chief Financial Officer |
| ▲ William Yeung | Deputy Chief Executive Officer | | |

Vision, Mission, and Core Values

Our company’s vision, mission, and values are the cornerstones of our culture. They guide our strategy and define our overarching goals.



Facebook



WeChat



LinkedIn



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Vision

- ▲ Be a forerunner in the financial industry with international footprints

Business Philosophy

- ▲ Provision of a full-fledged financial platform with internationalization strategy
- ▲ Relentless product and service innovation, capitalizing on our strong legacy
- ▲ Customer is at the heart of everything we do
- ▲ Retaining and attracting the best talent
- ▲ Ensuring a strong technological base to empower us and our customers

EBSHK Core Values

- ▲ We head towards our vision by ensuring that we remain focused on our six guiding principles: Sharing, Excellence, Integrity, Innovation, Prudence, and Professionalism, which plays a crucial role in our continued success.

Our Community

As one of the leading wealth management institutions in Hong Kong, we apply our unique set of expertise and our spirit of innovation to contribute back to the community in which we operate and grow our business to where we are today. Partnering with NGOs, we are focused on financial education, healthcare, sports, and the environment. We were one of the first companies to be named a Caring Company by the Hong Kong Council of Social Service, a recognition of our commitment to improving the lives of the underprivileged in Hong Kong.

Awards

- ▲ Brand and services awards:



Securities Company of the Year – Excellence
2018, 2019, 2021



Wealth Management Platform – Excellence
2017, 2018, 2021



GBA Securities Sector Brokerage Service – Excellence
2021



Corporate Finance Deal – Outstanding
2018-2021



Digital Innovation – Excellence
2018, 2020



Junding Award for Hong Kong All-round Securities Brokerage
2019, 2020



Best Brokerage, Hong Kong
2012, 2017-2018, 2020



Financial Planning BEST-IN-CLASS
2018



Outstanding IFA Award (Over 100 Seats)
2018



Wealth Management Advisory of the Year
2017



Best Local Brokerage in Hong Kong
2009, 2014



Excellent Brand of Securities Firm
2014-2021



Award of Excellence – Securities Investment Services
2019, 2020



The Best "Securities Firm" Service Award
2007-2008, 2011-2020

- ▲ Business and other awards:



15 Years Plus Caring Company
2002-2021



Manpower Developer
2016-2022



Good MPF Employer Award
2014-2020



Capital Market Star Investment Bank Award
2019



Best IPO Project Sponsor
2016



Outstanding Online Securities Investment Management Platform
2017

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光大新鴻基
EVERBRIGHT SUN HUNG KAI

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